

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------------|--------------------------------------|----------------------|---------------------|------------------|
| 10/531,310 | 11/21/2005 | Simon Michael French | 7790-X06-022 | 6342 |
| ²⁷³¹⁷ FLEIT KAIN (| 7590 08/21/2007 GIBBONS GUTMAN BO | NGINI & BIANCO | EXAMINER | |
| 21355 EAST D | IXIE HIGHWAY | CHEN, SHIN HON | HIN HON | |
| SUITE 115 MIAMI, FL 33 | · | | ART UNIT | PAPER NUMBER |
| | | | 2131 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 08/21/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | 84 | | | | |
|---|--|---|------|--|--|--|--|
| | Application No. | Applicant(s) | | | | | |
| Office Action Summers | 10/531,310 | FRENCH ET AL. | • | | | | |
| Office Action Summary | Examiner | Art Unit | | | | | |
| | Shin-Hon Chen | 2131 | | | | | |
| The MAILING DATE of this communication ap | ppears on the cover sheet | with the correspondence address | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING Description of time may be available under the provisions of 37 CFR 1, after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailine earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUN. 136(a). In no event, however, may be will apply and will expire SIX (6) Mode, cause the application to become | IICATION. a reply be timely filed ONTHS from the mailing date of this communicat ABANDONED (35 U.S.C. § 133) | | | | | |
| Status | | | | | | | |
| 1)⊠ Responsive to communication(s) filed on 13 A | April 2005 | | | | | | |
| | is action is non-final. | | | | | | |
| , <u> </u> | , | | | | | | |
| closed in accordance with the practice under | | | | | | | |
| Disposition of Claims | | | | | | | |
| 4)⊠ Claim(s) <u>1-11 and 13-21</u> is/are pending in the | application | • | | | | | |
| 4a) Of the above claim(s) is/are withdra | • • | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | |
| 6)⊠ Claim(s) <u>1-11 and 13-21</u> is/are rejected. | · · · · · · · · · · · · · · · · · · · | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | | |
| 8) Claim(s) are subject to restriction and/ | or election requirement. | | | | | | |
| Application Papers | | | | | | | |
| 9)☐ The specification is objected to by the Examin | er , | | | | | | |
| 10)⊠ The drawing(s) filed on 13 April 2005 is/are: a | | ected to by the Examiner. | | | | | |
| Applicant may not request that any objection to the | · · · · · · · · · · · · · · · · · · · | | | | | | |
| Replacement drawing sheet(s) including the correct | ction is required if the drawir | g(s) is objected to. See 37 CFR 1.121 | (d). | | | | |
| 11)☐ The oath or declaration is objected to by the E | xaminer. Note the attach | ed Office Action or form PTO-152. | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | |
| 12)⊠ Acknowledgment is made of a claim for foreign a)⊠ All b)□ Some * c)□ None of: | | § 119(a)-(d) or (f). | | | | | |
| 1. Certified copies of the priority documen | | | | | | | |
| 2. Certified copies of the priority documen | | | | | | | |
| Copies of the certified copies of the price application from the International Burea | | n received in this National Stage | | | | | |
| * See the attached detailed Office action for a lis | | nt received | | | | | |
| | t of the continue copies he | , 1000110d | | | | | |
| | | | | | | | |
| Attachment(s) | | | | | | | |
| 1) Notice of References Cited (PTO-892) | 4) Interview | Summary (PTO-413) | | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No | o(s)/Mail Date Informal Patent Application | | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date | 6) Other: _ | | | | | | |
| S. Patent and Trademark Office | | | | | | | |

Application/Control Number: 10/531,310

Art Unit: 2131

DETAILED ACTION

1. Claims 1-11 and 13-21 have been examined.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 3. Claim 1, 2 and 8-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Coss et al. U.S. Pat. No. 7143438 (hereinafter Coss).
- 4. As per claim 1 and 11, Coss discloses a system for managing security domains, the system comprising: a plurality of security domains (Coss: column 3 lines 37-41), each domain comprising at least one network having a plurality of managed nodes provided therein (Coss: column 3 lines 37-42); at least one management node located in one of said plurality of security domains for controlling operation of said plurality of managed nodes in said one security domain (Coss: column 3 lines 65-67: protecting two domains and domains controller are well known in the art for controlling access to domains); and a firewall located external of said one security domain which is operationally linked to the management node in said one security domain, the firewall linking said management node with said plurality of managed nodes in said plurality of

Page 2

Application/Control Number: 10/531,310

Art Unit: 2131

security domains (Coss: column 3 lines 50-54: firewall implements security policies for the

domains).

5. As per claim 2, Coss discloses the system of claim 1. Coss further discloses wherein the

firewall controls the network traffic by examining the source of the traffic, the destination of the

traffic, and the operational content contained within that traffic (Coss: column 4 lines 4-18).

6. As per claim 8, Coss discloses the system of claim 1. Coss further discloses wherein the

firewall hosts a subset of Internet Control Management Protocol (ICMP) (Coss: column 4 lines

50-52).

7. As per claim 9. Coss discloses the system of claim 1. Coss further discloses wherein the

firewall prevents communication between one managed security domain and any other managed

security domain (Coss: column 3 lines 50-54: control communication between two domains).

8. As per claim 10, Coss discloses the system of claim 1. Coss further discloses wherein the

firewall controls access of information by each node in a managed security domain (Coss:

column 3 line 65 – column 4 line 3 and figures 5A and 5B).

Claim Rejections - 35 USC § 103

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

Page-3

Art Unit: 2131

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 3-7 and 13-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Coss in view of Keohane et al. U.S. 6795862 (hereinafter Keohane).
- As per claim 3, Coss discloses the system of claim 2. Coss does not explicitly disclose wherein the firewall converts one management protocol to another. However, Keohane discloses converting one SNMP version to another to provide more security in communication (Keohane: column 1 lines 50-63). It would have been obvious to one having ordinary skill in the art to convert SNMP versions between two domains because SNMP is well known protocol for monitoring and controlling networks applied by gateways and firewalls. Therefore, it would have been obvious to one having ordinary skill in the art to combine the teachings of Keohane within the system of Coss because it provides more security between communicating domains by upgrading all SNMP protocols to version 3.
- 12. As per claim 4, Coss as modified discloses the system of claim 3. Coss as modified further discloses wherein the firewall hosts Simple Network Management Protocol (SNMP) (Coss: column 6 lines 3-10: process TCP/IP packets; Keohane: column 1 lines 50-63).
- 13. As per claim 5, Coss discloses the system of claim 4. Coss as modified further discloses wherein, when the managing security domain hosts one version of SNMP and at least one of the

Art Unit: 2131

managed security domain hosts another version of SNMP, the firewall converts one version of SNMP to another (Keohane: column 1 lines 50-63).

- 14. As per claim 6, Coss discloses the system of claim 5. Coss as modified further discloses wherein the managing security domain hosts several versions of SNMP and the managed security domains hosts less secure versions of SNMP (Keohane: column 1 lines 50-63: version 3 is more secure and ought to be used by managing node).
- 15. As per claim 7 and 21, Coss discloses the system of claim 6. Coss as modified further discloses wherein the firewall converts SNMPv3 on the managed security domain to SNMPv2c on the managed security domains (Keohane: column 1 lines 50-63).
- 16. As per claim 13-17, claims 13-17 encompass the same scope as claims 3-7. Therefore, claims 13-17 are rejected based on the same reason set forth above in rejecting claims 3-7.
- 17. As per claim 18-20, claims 18-20 encompass the same scope as claims 8-10. Therefore, claims 18-20 are rejected based on the same reasons set forth above in rejecting claims 8-10.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shin-Hon Chen whose telephone number is (571) 272-3789. The examiner can normally be reached on Monday through Friday 8:30am to 5:30pm.

Art Unit: 2131

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Shin-Hon Chen Examiner

Art Unit 2131

PRIMARY EXAMINED

SC